

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

SHEET 1of 2

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Application Number	10/601,259		
Filing Date	June 20, 2003		
First Named Inventor	James R. Lawter	,	
Art Unit	1615		
Examiner Name			
Attorney Docket No.	ORA5003USANP(J&JO-104US)		

Date

			U.S. PATE	NT DOCUMENTS			
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code <sup>2 (If known)</sup>	Publication D		of Patentee or of Cited Document	Pages, Columns, Lines, When Relevant Passages or Relevan Figures Appear	
111111111111111111111111111111111111111	1	US-6,488,961	12/3/02	Robinson et			
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Examiner Signature

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\*\*See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

\*\*Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

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(AW 08/03)

Substitute for Form 1449B/PTO

## **INFORMATION DISCLOSURE**

SHEET 2of 2

STATEMENT BY APPLICANT (Use as many sheets as necessary)

	Complete if Known	
Application Number	10/601,259	
Filing Date	June 20, 2003	
First Named Inventor	James R. Lawter	
Art Unit	1848 /G/G	
Examiner Name		
Attorney Docket No.	ORA5003USANP(J&JO-104US)	

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²
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'Applicant's unique citation designation number (optional).

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Sheet	1	of	5	Attorney Docket Number	ORA 104

			U.S. PATENT DOCUM	IENTS			
Examiner Initials*				US Patent Document	Name of Patentee or Applicant of Cited Document	Date of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code (if known					
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		Office.3	Number	Kind Code <sup>5</sup> (if known)				
06	$\sim$	PCT	WO 99/45910		Mucosal Therapeutics, Inc.	09-16-1999		_
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Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SENT TO: Assistant Commission for Patent, Washington, DC 20231.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. <sup>6</sup> Applicant to place a check mark here if English language Translation is attached.

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¹ Unique citation designation number ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant to place a check mark here if English language Translation is attached.

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				First Named Inventor	James Ronald Lawter
				Group Art Unit	1616
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Sheet	4	T of	5	Attorney Docket Number	ORA 104

		OTHER ART NON PATENT LITERATURE DOCUMENTS	
Examiner's Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T
	(>	BARKER, et al., "Oral care with vancomycin paste for reduction in incidence of alpha-hemolytic streptococcal sepsis," <i>J Pediatr Hematol Oncol</i> 17(2): 151-55 (1995).	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant to place a check mark here if English language Translation is attached.

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Examiner's Signature Date Considered 9/17/04		

<sup>1</sup> Unique citation designation number <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant to place a check mark here if English language Translation is attached.

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